

#3

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHODS AND REAGENTS FOR TARGETING ORGANIC COMPOUNDS TO SELECTED CELLULAR LOCATIONS**, the specification of which

_____ is attached hereto.

 X was filed on April 8, 1999 (Attorney Docket No. UCSF1100-3)
as U.S. Application Serial No. 09/403,882
and was amended on _____
if applicable (the "Application").

I hereby authorize and request insertion of the application serial number of the Application when officially known.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, Code of Federal Regulations ("C.F.R."), § 1.56.

With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

<u>60/081,118</u>	<u>April 8, 1998</u>
(Application Serial No.)	(Filing Date)
<u>60/018,340</u>	<u>April 9, 1998</u>
(Application Serial No.)	(Filing Date)
<u> </u>	<u> </u>
(Application Serial No.)	(Filing Date)

With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

COUNTRY	APPLICATION NO.	FILING DATE	PRIORITY CLAIMED
<u>PCT</u>	<u>PCT/US99/07847</u>	<u>4/8/99</u>	<u>YES</u>


With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of the Application:

<u> </u>	<u> </u>	<u> </u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00

Full name of first inventor: Javier Farinas

Inventor's signature: 

Date: 2-28-00

Residence: San Carlos, California CA

Citizenship: United States of America

Post Office Address: 75 Coronado Avenue, San Carlos, California 94070

Gray Cary\GT\6169904.1
104661-158688

PTO/PAT Rec'd 20 MAR 2000
09/403882

Attorney Docket No.: UCSF1100-3

Applicant: Javier Farinas

Serial No.: 09/403,882

Filed: April 8, 1999

Title: **METHODS AND REAGENTS FOR TARGETING ORGANIC COMPOUNDS TO SELECTED CELLULAR LOCATIONS****VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**
(37 C.F.R. §1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
ADDRESS OF ORGANIZATION: 1111 Franklin Street, Fifth Floor
Oakland, California 94607-5200

TYPE OF ORGANIZATION

- ☒ University or other Institution of Higher Education
☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. §501(a) and 501(c) (3))
☐ Nonprofit Scientific or Educational under Statute of State of the United States of America (Name of State _____) (Citation of Statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §501(a) and 501(c) (3)) if located in the United States of America
☐ Would qualify as nonprofit Scientific or Educational under Statute of State of the United States of America if located in the United States of America (Name of State _____) (Citation of Statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled **METHODS AND REAGENTS FOR TARGETING ORGANIC COMPOUNDS TO SELECTED CELLULAR LOCATIONS** by inventor Javier Farinas as described in

- ☐ the specification filed herewith
☒ application Serial No. 09/403,882 filed April 8, 1999
☐ Patent No. _____ issued _____

I authorize and request insertion of the serial number of the application when officially known.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Full Name Aurora Biosciences Corporation
Address 11010 Torreyana Road, San Diego, CA 92121
☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization
Full Name N/A
Address _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Julie Sarracino
TITLE IN ORGANIZATION Business Manager
ADDRESS OF PERSON SIGNING 1294 9th Avenue, Suite 1, San Francisco, CA 94143

SIGNATURE
Cary\GT\6169906.1104861-158688Julie Sarracino DATE 3/8/00 Gray

PTO/PC Rec'd 20 MAR 2000

PATENT

Attorney Docket No.: UCSF1100-3

09/403882

In re Application of:
Javier Farinas

Application No.: 09/403,882

Filed: April 8, 1999

For: METHODS AND REAGENTS FOR
TARGETING ORGANIC
COMPOUNDS TO SELECTED
CELLULAR LOCATIONS

POWER OF ATTORNEY BY ASSIGNEE

As a below-named assignee of the above-identified application ("Application"):

I hereby appoint the following attorneys of the assignee to prosecute the
Application and to transact all business in the United States Patent and Trademark Office
connected therewith:

(14)
TIM ELLIS
LISA A. HAILE
WILLIAM N. HULSEY, III
RICHARD J. IMBRA
SHEILA R. KIRSCHENBAUM
JUNE M. LEARN
TIMOTHY W. LOHSE
TERRANCE A. MEADOR
JOHN OSKOREP
STEPHEN E. REITER
STEVEN R. SPRINKLE
RAMSEY R. STEWART
DAVID R. STEVENS
BARRY N. YOUNG

Registration No. 41,734
Registration No. 38,347
Registration No. 33,402
Registration No. 37,643
Registration No. 44,835
Registration No. 31,238
Registration No. 35,255
Registration No. 30,298
Registration No. 41,234
Registration No. 31,192
Registration No. 40,825
Registration No. 38,322
Registration No. 38,626
Registration No. 27,774

In re Application of:

Javier Farinas

Application No.: 09/403,882

Filed: April 8, 1999

Attorney Docket No.: UCSF 1100-3

I hereby authorize and request insertion of the application number of the
Application when officially known.

Direct all telephone calls to:

LISA A. HAILE, PH.D.

Telephone: (858) 677-1456

Address all correspondence to:

LISA A. HAILE, PH.D.

GRAY CARY WARE & FREIDENRICH LLP4365 Executive Drive, Suite 1600San Diego, CA 92121**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

a corporation of the state of California

By:

Name:

Julie Sarracino

Title:

Business Manager

Date:

March 8, 2000